

CLIENT REGISTRATION FORM (INDIVIDUAL)

Title: -----

Name: -----

First Names: -----

Nationality: -----

Marital Status: -----

Maiden Name: -----

Country of Birth: -----

NIC Number: -----

Passport Number: -----

Mailing Address: _____

Telephone (Office): -----

Mobile: -----

Fax: -----

Email Address: -----

Employment Status (please tick) :

☐ Employed

☐ Self Employed

☐ Retired

☐ Housewife

☐ Unemployed

☐ Other, please specify: -----

Occupation (Present or Last): -----

Employer's Name and Address: -----
(or Business address if self employed)

Business Sector if Self Employed: -----

Gross Monthly Income (in 000s MUR) (please tick):

☐ Below 10

☐ Between 10 and 25

☐ Between 25 and 50

☐ Between 50 and 75
☐ Between 75 and 100
☐ Above 100

Source of Funds:

- ☐ Salary/Pension
- ☐ Interest
- ☐ Loan/Overdraft
- ☐ Rent
- ☐ Alimony
- ☐ Inheritance

- ☐ Lottery/Gambling
- ☐ Insurance
- ☐ Gift
- ☐ Retirement Provision
- ☐ Capital Gains
- ☐ Dividends
- ☐ Compensation

4.1 Would you like SSL to accept orders and instructions by phone, email or fax at your own risk?

☐ No ☐ Yes (If Yes, Please fill-in the Indemnity Agreement Form)

4.2 Would you like SSL to debit/credit your account with SBM for the settlement of purchases and sales, and all other commissions and fees chargeable?

☐ No ☐ Yes (If Yes, Please fill-in the SBM Account Operations' Authorisation Form)

4.3 Would you like SSL to sign applications, tenders, CDS Account Opening/Maintenance forms and CDS Deposit Forms and other CDS Forms on your behalf?

☐ No ☐ Yes (If Yes, Please fill-in the CDS Authorisation Form)

4.4 Would you like to trade via I-net through SSL?

☐ No ☐ Yes (If Yes, please fill-in the I-net Form)

4.5 Would you like Dividends to be credited to your bank account by the company/fund registries, whenever this possibility exists?

☐ No ☐ Yes (If Yes, please specify below)

Account Number: -----

Bank Name: -----

4.6 Would you like to trade International Securities, Futures, Options, and Contracts for Differences, Exchange Traded Funds, Forex and Forex Options?

☐ No ☐ Yes (If Yes, please fill-in the Agreement for Trading International Securities and Funds)

4.7 Have you read and understood all the terms and conditions of the Agreement that you are entering with SSL for the trading of International Securities, Futures, Options, Contracts for Differences, Exchange Traded Funds, Forex and Forex Options and have you taken cognizance of all the risks involved in trading all these products and the use of margin?

☐ No ☐ Yes

I,-----,hereby declare that I am not involved in or in relation to any act of or dealing with the trafficking of narcotics and dangerous drugs and proceeds of such trafficking, contrary to the Dangerous Drug Act 2000, terrorist financing under The Prevention of Terrorism Act 2002, And/or such money laundering offences under the Financial Intelligence and Anti-money Laundering Act 2002 and any other applicable legislation.

I declare that the information provided in this form is true and complete. I undertake to notify SBM Securities Ltd immediately in writing of any change in particulars or information provided above.

Name: -----

Signature: ----- Date: -----

For office use only

Received by: -----

Checked/Approved by: -----

A/C Number : -----

Date: -----

5. OTHER INFORMATION

5.1 Have you ever been convicted in any court of law for a criminal offence or are there any proceedings now pending against you, which may lead to such a conviction?

☐ No ☐ Yes ; Details: -----

5.2 Have you been declared bankrupt or compounded with or made an assignment for the benefit of creditors in Mauritius or elsewhere?

☐ No ☐ Yes ; Details: -----

5.3 Are you acting as a nominee /trustee on behalf of an undisclosed underlying principal(s)?

☐ No ☐ Yes; Details: -----

5.4 Is the Customer in any way connected or closely related to anyone involved in Stock Exchange, Financial Services Commission, Central Depository Services or any Stockbroker / Stock broking company?

☐ No ☐ Yes; Details: -----

Are you a client of any SBM or any of its subsidiaries?

☐ No ☐ Yes

Would you like to receive details of other products and services that we feel might interest you?

☐ No ☐ Yes

We may from time to time disclose your personal information to other companies within the SBM Group of companies for them to send you details of their products and services that they feel may be of interest to you.

☐ No ☐ Yes