

FOREIGN FUNDS APPLICATION FORM

Client Name: (1)

(2) If joint

SECTION 1-FUND DETAILS

For Office Use Only

Ref.

Subscription:

Name of Fund 1:

ISIN:

Currency:

Amount:

Ref.

Name of Fund 2:

ISIN:

Currency:

Amount:

Ref.

Redemption:

ISIN:

Currency:

Amount:

Fee Schedule 1

| Entry Fee | Switching Fee |
|-----------|---------------|
| 1.0% | 0.5% |

SECTION 2 – DECLARATION

I/We agree/confirm that:

1. This application is made on the basis of the terms and conditions of the Prospectus of the Funds which are available upon request. The value of the investments may change with market fluctuations and past performance is not an indication of future results.
2. The monies being invested pursuant to this application are not proceeds of illegal/criminal activities and my/our investment is not designed to conceal such proceeds and to avoid prosecution for an offence.
3. All information provided is true and correct and I agree to inform SBM Capital Markets Ltd (SBM CML) of any change in the information provided.
4. I/We understand that SBM Holdings Ltd, SBM Bank (Mauritius) Ltd, SBM Capital Markets Ltd, or any of its other subsidiaries or related companies make no representation, warranty or undertaking and is not responsible as to the accuracy or completeness of the information provided by the Investment Funds and assume no fiduciary responsibility or liability, financial or otherwise arising from the subscription or acquisition of this instrument.
5. I/We understand that I/we should make my/our own appraisal of the risks and should consult to the extent necessary my/our own independent legal, financial, tax, accounting and other professional advisors in this respect prior to any subscription or acquisition.

SECTION 3 –DISCLAIMER

1. The Fund and the Investment Manager are not regulated and licensed by the Financial Services Commission (FSC) Mauritius. The FSC does not vouch for the financial soundness of the products or for the correctness of any statements made or opinions expressed with regard to it.
2. Past performance of the Fund does not guarantee future performance. The value of investments and their respective incomes may fluctuate according to market conditions. Where investment is made in currencies other than the investor's domestic currency, the value of those investments and any receivables will be impacted by movements in exchange rates.
3. Prospective subscribers are expected to read and understand the prospectus and accept the risks on investment. The redemption may be deferred as mentioned in the prospectus. You are wholly responsible for ensuring that all the features and characteristics of the Fund fit your requirements.
4. Unless you fully understand and accept the nature of the Fund and its associated possible risks, you should not invest in the Fund.
5. SBM Capital Markets Ltd which is licensed by the FSC Mauritius acts as distributor of the Funds and gives no warranty or promise as to the performance or profitability of your investments or any part thereof. You may wish to seek independent advice from a professional financial adviser before making a commitment

We require your personal data in order to comply with our legal and regulatory obligations and to understand your suitability for any of our products or services. You are required to provide the personal data indicated in this form and by signing or agreeing to the terms of this form you are giving your consent for your personal data to be processed by us or by another party on our behalf.

You are entitled to access your personal data by sending a written request to your nearest branch or office. You may also request us to correct, amend, restrict or supplement your personal data which we undertake to do as soon as possible.

You are entitled to object to the use of your personal data and subject to any law or regulation to the contrary, may request the erasure or destruction of your personal data. Destruction or erasure of your personal data may entail the termination of our business relationship.

By signing or agreeing to the terms of this form, you are giving us your consent to disclose your personal data, including information about your accounts and transactions to-

- a. Any company or entity within the SBM group (whether within or outside Mauritius) and other parties which intervene in the business relationship such as processing centres and credit information bureaus.
- b. Subcontractors, suppliers or agents on the understanding they will keep your personal data confidential. However we will not be liable if they breach confidentiality.
- c. Any person who may assume or acquire our rights; or
- d. Where required by law or regulation.

You also agree that your personal data may be transferred to another country for any of the above purposes or stored outside Mauritius. Where we transfer your personal data to another country, we will take reasonable measures to ensure equivalent levels of protection are applied.

We may have recourse to various IT systems including but not limited to credit scoring systems and profiling systems for marketing purposes in order to process and obtain automated decisions based on your personal data provided by you to us. These automated systems facilitate in identifying the suitability of our product and service offering to you.

Where you have consented to receiving marketing materials from us, we and any other entity within the SBM group may use your information to inform you by telephone, text message, email or letter of our products or services which we believe may be of interest to you.

We will retain your personal data for as long as permitted for legal, regulatory, fraud prevention and legitimate business purposes. Once the purpose for retaining your personal data has lapsed, we will erase your data as soon as possible.

You have a right to file a complaint about how we use your personal data with the Data Protection Office of Mauritius.

By signing this form or agreeing to the above terms (i) you acknowledge that you have read and understood the contents (ii) you confirm the personal data provided is complete and accurate (iii) you acknowledge that we will not be liable for any unauthorised disclosure of your personal data by a third party unless we have been negligent (iv) you provide your consent on the terms above.

Please tick this box if you do not wish to receive marketing communications from us or other entity of the SBM group.

We may amend these terms and conditions at any time and will inform you of any changes through email, letter, notices in our branches or offices or on our website or ATMs.

Signature : _____ **Signature :** _____

Date :

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Date :

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Collecting Officer: _____ Application received on: _____

Monies received on: _____ Date of Transfer to Fund Provider: _____

Processed by: _____

For any Subscription where client's money is received in MUR, the latter will be converted in the fund's currency at the prevailing exchange rates and credited to the relevant Fund